

Interactive Brokers Hong Kong Limited, Suite 1512, Two Pacific Place, 88 Queensway, Admiralty, Hong Kong

**Account Information**

Account Holder(s)	
Address of Account Holder(s)	
Account Number	U
Investment Advisor	
Address of Investment Advisor	
Customer Type	Joint
Account Capabilities	Margin
Trading Permissions	Stocks,Options,Warrants,Forex
Base Currency	USD
Your Investment Objectives <sup>1</sup>	Growth,Income,Preservation,Trading

**Notes**

- Please carefully review the investment objectives and other information listed above for your account and notify the Interactive Brokers Customer Service Department (see below) if anything is incorrect or if anything changes. These investment objectives are explained below.

Below for your convenience is a summary of the activity in your Interactive Brokers Account for the dates indicated. Please note that this summary is not a substitute for your account statement and does not include a listing of each transaction in your account during the dates covered. To review daily or monthly account statements, please login to Account Management on the Interactive Brokers website.

**Net Asset Value**

	March 31, 2017		June 30, 2017			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	19,408.64	19,252.38	0.00	<b>19,252.38</b>	-156.26	Starting Value	61,180.09	
Stock	41,716.50	40,431.75	0.00	<b>40,431.75</b>	-1,284.75	Mark-to-Market	-1,284.75	
Dividend Accruals	54.95	54.95	0.00	<b>54.95</b>	0.00	Dividends	251.13	
<b>Total</b>	<b>61,180.09</b>	<b>59,739.08</b>	<b>0.00</b>	<b>59,739.08</b>	<b>-1,441.01</b>	Withholding Tax	-75.34	
<b>Time Weighted Rate of Return</b>					<b>-2.36%</b>	Advisor Fees	-302.05	
						Other Fees	-30.00	
						Ending Value	59,739.08	

## Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
<b>Stocks</b>								
<b>USD</b>								
CRM	75	1	57.5100	4,313.25	86.6000	6,495.00	2,181.75	
GE	200	1	27.5900	5,518.00	27.0100	5,402.00	-116.00	
KMI	200	1	29.7447	5,948.94	19.1600	3,832.00	-2,116.94	
MO	50	1	48.6790	2,433.95	74.4700	3,723.50	1,289.55	
MS	225	1	33.7164667	7,586.20	44.5600	10,026.00	2,439.80	
PFE	50	1	29.6450	1,482.25	33.5900	1,679.50	197.25	
RIG	150	1	37.8326667	5,674.90	8.2300	1,234.50	-4,440.40	
TWTR	75	1	39.3466667	2,951.00	17.8700	1,340.25	-1,610.75	
VZ	150	1	47.5881667	7,138.22	44.6600	6,699.00	-439.22	
<b>Total</b>				<b>43,046.72</b>		<b>40,431.75</b>	<b>-2,614.97</b>	

## Other Information

Interactive Brokers LLC is the clearing and carrying broker for your account. Interactive Brokers LLC is a member of the Securities Investor Protection Corporation (SIPC), the Financial Industry Regulatory Authority (FINRA) and the National Futures Association (NFA). Your account is managed by an independent Financial Advisor selected by you, who is managing the trading and investment activity in your account. Your Financial Advisor is not employed by or affiliated with Interactive Brokers and Interactive Brokers is not responsible for and will not supervise the trading and investment decisions made by your Advisor. Our records show the following information for your Advisor:

Financial Advisor:

Advisor Fees Being Deducted:

- IB Calculated Advisor Fee automatically billed to the client's account with blanket client authorization.
- Annualized Percentage of Net Liquidation Value applied on a daily basis: 2%
- Percent of Quarterly Positive P&L applied as of MAR, JUN, SEP, DEC: 15%

If you have any questions about this Quarterly Activity Summary, please contact your Financial Advisor.

To report any problem or inaccuracy or discrepancy in this statement, or in your account, please contact the Interactive Brokers Customer Service Department at the Interactive Brokers website [www.interactivebrokers.com](http://www.interactivebrokers.com) or call: Interactive Brokers LLC, 877-442-2757.

## Explanation of Investment Objectives

Objective	Description
Growth	Increase the principal value of your investments over time rather than seeking current income. Investor assumes higher degree of risk.
Hedging	Take positions in a product in order to hedge or offset the risk in another product.
Income	Generate dividend, interest or other income instead of, or in addition to, seeking long-term capital appreciation.
Preservation	Seek maximum safety and stability for your principal by focusing on securities and investments that carry a low degree of risk.
Speculation	Substantially increase the principal value of your investments by assuming substantially higher risk to your investment capital.
Trading	Increase the principal value of your investments by using shorter term trading strategies and by assuming higher risk.

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
AEx	Automatic exercise for dividend-related recommendation.	LT	Long Term P/L
Adj	Adjustment	Lo	Direct Loan
Al	Allocation	M	Entered manually by IB

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Aw	Away Trade	MEx	Manual exercise for dividend-related recommendation.
B	Automatic Buy-in	ML	Maximize Losses tax basis election
Bo	Direct Borrow	MLG	Maximize Long Term Gain tax basis election
C	Closing Trade	MLL	Maximize Long Term Loss tax basis election
CD	Cash Delivery	MSG	Maximize Short Term Gain tax basis election
CP	Complex Position	MSL	Maximize Short Term Loss tax basis election
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ep	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
G	Trade in Guaranteed Account Segment	RED	Redemption to Investor
HC	Highest Cost tax basis election	Re	Interest or Dividend Accrual Reversal
HFI	Investment Transferred to Hedge Fund	Ri	Reimbursement
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
I	Internal Transfer	SL	Specific Lot tax basis election
IA	This transaction was executed against an IB affiliate	SO	This order was marked as solicited by your Introducing Broker
INV	Investment Transfer from Investor	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
L	Ordered by IB (Margin Violation)	ST	Short Term P/L
LD	Adjusted by Loss Disallowed from Wash Sale	T	Transfer